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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Augustus W Winfield et al

Confirmation No.:

Application No.: n/a

Examiner: unknown

Filing Date: herewith

Group Art Unit: n/a

Title: Assurance Of Non-Alteration Of Files

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2), and  
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EL856423829US

Date of Deposit 7.27.01

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231

By

Typed Name: Tara A. Schulze

Respectfully submitted,

Augustus W Winfield et al

By

Augustus W Winfield

Attorney/Agent for Applicant(s)  
Reg. No. 34,046

Date: 7/27/01



FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10007719-1

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Augustus W. Winfield et al

FILING DATE

herewith

GROUP

n/a

 1057 U.S. PTO  
 09/916194  
 07/27/01

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	4,771,460	Sep 13, 1988	Tamada et al	380	24
	1B	4,933,969	Jun 12, 1990	Marshall et al	380	125
	1C	5,050,212	Sep 17, 1991	Dyson	380	25
	1D	5,875,249	Feb 23, 1999	Mintzer et al	380	54
	1E	5,996,113	Nov 30, 1999	Korn et al	714	807
	1F	6,005,936	Dec 21, 1999	Shimizu et al	380	4
	1G					
	1H					
	1I					
	1J					
	1K					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L	WO 00/19295	Apr 6, 2000	Petrogiannis	G06F	1/00	X	
	1M	WO 00/51286	Aug 31, 2000	Borrowman	H04L	9/08	X	
	1N	EP0845758A2	Jun 3, 1998	Shimizu et al	G06T	11/00	X	
	1O	EP0969430A1	Jan 5, 2000	Lipkin	G07F	19/00	X	
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED